

PTOL-413A (05-03)

Approved for use through xx/xx/xxxx. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

## Applicant Initiated Interview Request Form

Application No.: 09/617,065 First Named Applicant: Understein  
 Examiner: Sally Shih Art Unit: 3624 Status of Application: \_\_\_\_\_

## Tentative Participants:

(1) Alan M. Kagen (2) SPE or Primary  
 (3) Examiner Shih (4) \_\_\_\_\_

Proposed Date of Interview: 8/11/03 Proposed Time: 2:00 pm (AM/PM)

## Type of Interview Requested:

(1) ☐ Telephonic (2) ☒ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

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## Issues To Be Discussed

| Issues<br>(Rej., Obj., etc) | Claims/<br>Fig. #s | Prior<br>Art         | Discussed                | Agreed                   | Not Agreed               |
|-----------------------------|--------------------|----------------------|--------------------------|--------------------------|--------------------------|
| (1) <u>Sec. 102(e)</u>      | <u>1-8</u>         | <u>USP 5,872,844</u> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (2) _____                   | _____              | _____                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (3) _____                   | _____              | _____                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| (4) _____                   | _____              | _____                | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Continuation Sheet Attached

## Brief Description of Arguments to be Presented:

Yacobi '844 patent is distinguishable from claimed invention, particularly  
with respect to "reserving" funds per claim 1. Yacobi rather monitors fraudulent  
use of electronic assets. Other distinguishing features of the invention  
will be discussed.

An interview was conducted on the above-identified application on \_\_\_\_\_.

## NOTE:

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Alan M. Kagen  
 (Applicant/Applicant's Representative Signature)  
 Alan M. Kagen, Reg. No. 36,178

\_\_\_\_\_  
 (Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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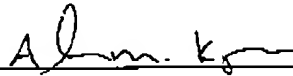
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## Certificate of Transmission under 37 CFR 1.8

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Date



Signature

Alan M. Kagen, Reg. No. 36,178

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